| | # | 3 | | | SegA | |
|---|---|---------------|--|--------------|------------------------|--|
| Response To N Filing Da | Docket No. 04558/053001 | | | | | |
| In Re Application Of: | Shoji NAKAMURA et al. | JAN 147 | or Section of the sec | | | |
| Serial No. 09/911,855 | Filing Date July 23, 2001 | FATENT & TRAI | Examiner | | Group Art Unit 1772 | |
| Invention: MOLDED (| GLASS SUBSTRATE FOI | R MAGNETI | C DISK AND METHO | OD FOR I | MANUFACTURING | |
| | - | | SIONER FOR PATE | <u> 1TS:</u> | | |
| This is a response to the August 31, 2001 Date | Notice to File Missing Pa | Box Missing | | anted (PT | O-1533) mailed on | |
| Enclosed herewith for fili | ng are the following: | | | | | |
| | - | | _ | • | A | |
| ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. | | | | | | |
| A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date. | | | | | | |
| An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. | | | | | | |
| | translation of the non-En n be used as the copy fo | | | | | |
| Other (list): | | | | | | |
| Information Discle Transmittal of Pri | osure Statement fority Documents Under 35 | 5 U.S.C. 119 | | | | |
| | | | | | 1 / | |

| Response To I Filing Da | | Docket No. 04558/053001 | | | | | |
|---|-----------------------------|----------------------------|-------------------------|---|--|--|--|
| In Re Application Of: | Shoji NAKAMURA et al. | JAN 1 4 2002 15 | | | | | |
| Serial No. 09/911,855 | Filing Date July 23, 2001 | TRADEMARK CX | miner | Group Art Unit 1772 | | | |
| Invention: MOLDED O | GLASS SUBSTRATE FO | PR MAGNETIC DISK AN | D METHOD FOR | MANUFACTURING | | | |
| | TO THE ASSISTA | ANT COMMISSIONER FO | OR PATENTS: | | | | |
| Box Missing Parts | | | | | | | |
| ☑ Completion of approximation approxima | olication fees as calculate | ed below: | | | | | |
| ☑ Utility applica | ation filing fee | | | \$740.00 | | | |
| ☐ Design applic | cation filing fee | | | | | | |
| ☑ Total number | r of independent claims | = 2 | | | | | |
| ☑ Total number | r of claims = 20 | | | | | | |
| ☐ Multiple depe | endent claims | | | | | | |
| Surcharge for late payment of filing fee and/or late filing of original declaration or oath | | | | | | | |
| ☐ Petition and fee for filing by other than all the inventors or a person not the inventor | | | | | | | |
| ☐ Fee for processing an application filed with a non-English language specification | | | | | | | |
| ☐ Fee for processing and retention of application | | | | | | | |
| • | | Total com | pletion of application | on fees \$870.00 | | | |
| above-identified Notice t | o File Missing Parts of A | , , | ed extension is as | filing a response to the follows (check time period | | | |
| ☐ One month | ☐ Two months | ☐ Three months | ☐ Four months | ☐ Five months | | | |
| from: | | until: | | · | | | |
| | Date | | Date Total time extens | ion fees | | | |
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| | | | i otal f | ees due\$870.00 | | | |

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Large Entity)

Docket No. 04558/053001

In Re Application Of: Shoji NAKAMURA et al.

JAN 1 4 2002

Serial No. 09/911,855

Filing Date July 23, 2001

Examiner

Group Art Unit

1772

Invention:

MOLDED GLASS SUBSTRATE FOR MAGNETIC DISK AND METHOD FOR MANUFACTURING

THE SAME

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of

\$870.00

is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0591 A duplicate copy of this sheet is enclosed.
- ☑ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0591 A duplicate copy of this sheet is enclosed.

what A Fagin reg no 39,182 for Dated: 10/29/01

Jonathan P. Osha, Reg. No. 33,986 ROSENTHAL & OSHA L.L.P. 700 Louisiana Street, Suite 4550 Houston, Texas 77002

Telephone: 713/228-8600 Facsimile: 713/228-8778

I certify that this document and fee is being deposited on 10/29/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Saurea . Garcia

Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

CC:



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/911,855

07/23/2001

Shoji Nakamura

04558/053001

CONFIRMATION NO. 3890

FORMALITIES LETTER

OC000000006503021

ROSENTHAL & OSHA L.L.P. Suite 4550 700 Louisiana Houston, TX 77002

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$840.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/18/2002 MBIZUNES 00000082 09911855

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Houston, Ti 71003 Sosurthal () Sha LLP





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